

# STIC Search Report

# STIC Database Tracking Number: 13601

TO: Juliana Kang Location: JEF- 4B85

**Art Unit: 2874** 

Monday, October 25, 2004

Case Serial Number: 09/327826

From: Darcy Bates Location: EIC 2800

JEF-4B68

Phone: 571-272-2540

darcy.bates@uspto.gov

### Search Notes

Re: 09/327,826 US 6,328,482

Attached are search results.

No U. S. litigation was found in searches of Lexis-Nexis and Questel-Orbit databases.

If more searching or explanation is needed, please let me know.

Thanks, Darcy Bates



### PALM INTRANET

Day : Monday Date: 10/25/2004 Time: 12:40:03

#### **Patent Number Information**

Application Number: 09/327826 Assignments

Filing Date: 06/08/1999

Effective Date: 06/08/1999 Application Received: 06/08/1999

Patent Number: 6328482 Issue Date: 12/11/2001

Date of Abandonment: 00/00/0000

Attorney Docket Number: 2790-P001 Status: 150 / PATENTED CASE

Confirmation Number: 5954

Examiner Number: 65417 / NGO, HUNG

Group Art Unit: 2874

Class/Subclass: 385/088.000

Lost Case: NO Interference Number: Unmatched Petition: NO

L&R Code: Secrecy Code:1

Third Level Review: NO

Secrecy Order: NO

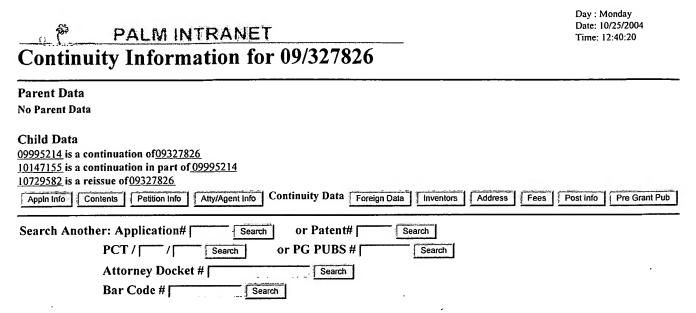
Status Date: 11/21/2001

Oral Hearing: NO

Title of Invention: MULTILAYER OPTICAL FIBER COUPLER

Bar Code	PALM Location	Location Date	Charge to Loc	Charge to Name	Employee Name	Location		
09327826	2 <u>8U4</u>	10/07/2004	28E1	No Charge to Name	WESTIN, EDWARD	JEF/08/D 65		
Appln Info	Contents Petition in	io Atty/Agent/Info	<b>Contlautiy Data</b>	Foreign Data   Inventors	Address Fees Postin	d Precented		
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# PALM INTRANET

Day: Monday Date: 10/25/2004 Time: 12:40:29

#### **Application Number Information**

Application Number: 09/995214 Assignments

Filing Date: 11/26/2001

Effective Date: 11/26/2001 Application Received: 11/29/2001

Pat. Num./Pub. Num: 6527455/20020054737

Issue Date: 03/04/2003

Date of Abandonment: 00/00/0000 Attorney Docket Number: 2790.P001B

Status: 150 /PATENTED CASE

Confirmation Number: 1352

L&R Code: Secrecy Code:1 Third Level Review: YES

Oral Hearing: NO

Group Art Unit: 2874

Interference Number:

Unmatched Petition: NO

Class/Subclass: 385/088.000

Title of Invention: MULTILAYER OPTICAL FIBER COUPLER

Lost Case: NO

Examiner Number: 65417 / NGO, HUNG

Secrecy Order: NO

Status Date: 02/13/2003

Bar Code	PALM Location	Location Date	Charge to Loc	Charge to Name	Employee Name	Location	
09995214	28U4	10/07/2004	28E1	No Charge to Name	<u>WESTIN,EDWARD</u>	JEF/08/D 65	
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Day : Monday Date: 10/25/2004 Time: 12:40:36

# PALM INTRANET Continuity Information for 09/995214

**Parent Data** 

09995214

is a continuation of 09327826

Which Claims Priority from Provisional Application 60088374

Which Claims Priority from Provisional Application 60098932

**Child Data** 

10147155 is a continuation in part of 09995214

Application   Contents   Petition Info   Atty/Agent Info   Con	tinuity Data	cielgn Data   Inventors	Address Frees Postlinto	Pro Cant Pub
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Attorney Docket #	Search)			
Bar Code # Search				•

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### PALM INTRANET

Day: Monday Date: 10/25/2004 Time: 12:41:02

#### **Application Number Information**

Application Number: 10/147155 Assignments

Examiner Number: 79570 / MACCHIAROLO, PETER

Filing Date: 05/15/2002

Group Art Unit: 2879

IFW IMAGE

Effective Date: 05/15/2002

Application Received: 05/17/2002

Class/Subclass: 385/088.000

Pat. Num./Pub. Num: /20030002809

Lost Case: NO Interference Number:

Issue Date: 00/00/0000

Unmatched Petition: NO

Date of Abandonment: 00/00/0000

L&R Code: Secrecy Code:1

Secrecy Order: NO

Attorney Docket Number: 2790.P003A

Third Level Review: NO Status: 71 /RESPONSE TO NON-FINAL OFFICE ACTION ENTERED AND FORWARDED TO EXAMINER

Status Date: 09/30/2004

Confirmation Number: 9801

Oral Hearing: NO

Title of Invention: VERTICALLY INTEGRATED OPTICAL DEVICES COUPLED TO OPTICAL FIBERS

Bar Code	PALM Location	Location Date	Charge to Loc	Charge to Name	Employee Name	Location
Appln Info Co	ontents Petition Info	Atty/Agent Info Cont	tinuity Data Foreign D	pata Inventors Addres	SS Fees Post Info	Pre Grant Pub
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	Attorney Docket	# [	Search			
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# PALM INTRANET Continuity Information for 10/147155

**Parent Data** 

10147155

Claims Priority from Provisional Application 60291169

is a continuation in part of 09995214

Which is a continuation of <u>09327826</u>

Which Claims Priority from Provisional Application 60088374

Which Claims Priority from Provisional Application 60098932

**Child Data** 

No Child Data

Application   Contents   Petition Info   Atty/Agent Info   Continuity Data   Foreign Data   Inventors   Address   Inventors   Inventor	Fees Postlato Pre Creat Pub
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Bar Code # Search	

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# Litigation involving patent 6,328,482

Click on the docket number to view the docket. Click on the above patent number to view the patent.

□ Docket □ □ Case Heading □ □ Date Filed □ □ Date Retrvd □

There are no cases involving this patent number.

Source: <u>Legal</u> > <u>Area of Law - By Topic</u> > <u>Patent Law</u> > <u>Patents</u> > <u>U.S. Patents</u> > <u>Utility Patents</u>

Terms: patno=6328482 (Edit Search)

327826 (09) 6328482 December 11, 2001

#### UNITED STATES PATENT AND TRADEMARK OFFICE GRANTED PATENT

#### 6328482

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#### Link to Claims Section

December 11, 2001

Multilayer optical fiber coupler

**REISSUE:** December 6, 2003 - Reissue Application filed Ex. Gp.: 2874; Re. S.N. 10/729,582 (O.G. March 2, 2004)

INVENTOR: Jian, Benjamin Bin - 233 N. Mollison Ave. #51, El Cajon, California, 92021

APPL-NO: 327826 (09)

FILED-DATE: June 8, 1999

GRANTED-DATE: December 11, 2001

LEGAL-REP: Law Offices of James P. McFarland

PUB-TYPE: December 11, 2001 - Utility Patent having no previously published pre-grant

publication (B1)

PUB-COUNTRY: United States (US)

**REL-DATA:** 

Provisional Application Ser. No. 60/088374, June 8, 1998 Provisional Application Ser. No. 60/098932, September 3, 1998

**US-MAIN-CL:** 385#88

**US-ADDL-CL:** 385#33, 385#34, 385#89, 385#93

**CL:** 385

SEARCH-FLD: 385#33, 385 88- 94

IPC-MAIN-CL: 7G 02B006#36

PRIM-EXMR: Ngo, Hung N.

**REF-CITED:** 

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Selected file: PLUSPAT

Search statement

Query/Command : us 6328482/pn )

#### \*\* SS 4: Results 1

Search statement 5

#### Query/Command : prt fu legalall max

1 / 1 PLUSPAT - @QUESTEL-ORBIT

PN - US6328482 B1 20011211 [US6328482]

TI - (B1) Multilayer optical fiber coupler

IN - (B1) JIAN BENJAMIN BIN (US)

**AP** - US32782699 19990608 [1999US-0327826]

FD - Rel. Prov. 60/088,374 19980608 [1998US-P088374] Rel. Prov. 60/098,932 19980903 [1998US-P098932]

PR - US32782699 19990608 [1999US-0327826] US8837498P 19980608 [1998US-P088374] US9893298P 19980903 [1998US-P098932]

IC - (B1) G02B-006/36 EC - G02B-006/42C5P2 ICO - S02B-006/42C3 S02B-006/42C3B S02B-006/42C5A2D

PCL - ORIGINAL (O): 385088000; CROSS-REFERENCE (X): 385033000 385034000 385089000 385093000

DT - Basic

CT - US3968564; US4292512; US4466696; US4897711; US4934784; US4945400; US5181224; US5195150; US5247597; US5259054; US5337398; US5345529; US5346583; US5434939; US5471552; US5501893; US5742720; US6023546; JP6-138341

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Carson et al., "Future Manufacturing Techniques for Stacked MCM Interconnections", Journal of Metal, Jun. 1994, pp. 51-55.

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Iga, "Two-dimensional Arrayed Microoptics", TUB2, Invited Paper, CLEO, 1989, pp. 44-45.

Oikawa et al., "Optical Tap Array Using Distributed-Index Planar Microlens", Electronics Letters, vol. 18, No. 8, Apr. 15, 1982, pp. 316-317.

STG -(B1) U.S. Patent (no pre-grant pub.) after Jan. 2, 2001 AB -A multilayer optical fiber coupler for coupling optical radiation between an optical device and an optical fiber, including a first layer that has a fiber socket formed by photolithographic masking and etching to extend through said first layer, and a second layer bonded to the first layer. The first layer may comprise substantially single-crystal silicon. An optical fiber is inserted into the fiber socket to align the optical fiber precisely within the fiber socket. In one embodiment the optical fiber is a single mode fiber, and an optical focusing element formed on the second layer is aligned with the core of the single mode fiber. The second layer may comprise glass having an index of refraction that approximately matches the index of the optical fiber, and an optical epoxy is used to affix the optical fiber into the fiber socket and fill the gaps between the end face of the fiber and the second layer. Embodiments are disclosed in which an optical device such as a VCSEL or photodetector is bonded to the second layer. Alternative embodiments are disclosed in which the optical device is incorporated into the second layer. Advantages include reduced cost due to batch fabrication techniques, and passive alignment of the optical fiber.

UP - 2001-51

1 / 1 LGST - @EPO

PN - US6328482 B1 20011211 [US6328482] AP - US32782699 19990608 [1999US-0327826]

ACT - 20040302 US/RF-A

REISSUE APPLICATION FILED EFFECTIVE DATE: 20031206

**UP** - 2004-11

1 / 1 CRXX - @CLAIMS/RRX

3617588 AN -

PN - 6,328,482 A 20011211 [US6328482] PA - Jian, Benjamin Bin

PT - M (Mechanical)

ACT - 20030703 REASSIGNED

ASSIGNMENT OF ASSIGNORS INTEREST

Assignor: JIAN, BENJAMIN B., DATE SIGNED: 06/30/2003

Assignee: ARRAYED FIBEROPTICS CORPORATION, 40037 FREMONT BLVD.

#403, FREMONT, CALIFORNIA, 94538

Reel 014227/Frame 0497

Contact: BENJAMIN B. JIAN, 40037 FREMONT BLVD. #403, FREMONT, CA

94538

20031206 REISSUE REQUESTED ISSUE DATE OF O.G.: 20040302 REISSUE REQUEST NUMBER: 10/729582

EXAMINATION GROUP RESPONSIBLE FOR REISSUEPROCESS: 2874

Reissue Patent Number:

UP - 2004-09 : UACT- 2004-03-02 URAS- 2004-02-24

Search statement 5

· Query/Command : fam us6328482/pn /

1 Patent Groups \*\* SS 5: Results 3

Search statement 6

#### Query/Command : famstate nonstop

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1 / 3
       PLUSPAT - @QUESTEL-ORBIT - image
PN -
       US2003002809 A1 20030102 [US20030002809]
STG -
       (A1) Utility Patent Application published on or after January 2, 2001
       (A1) Vertically integrated optical devices coupled to optical
fibers
IN -
       (A1) JIAN BENJAMIN B (US)
IC -
        (A1) G02B-006/26 G02B-006/293 G02B-006/38
AP -
       US14715502 20020515 [2002US-0147155]
US14715502 20020515 [2002US-0147155]
PR -
        US99521401 20011126 [2001US-0995214]
        US32782699 19990608 [1999US-0327826]
        US29116901P 20010515 [2001US-P291169]
        US8837498P 19980608 [1998US-P088374]
        US9893298P 19980903 [1998US-P098932]
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ICO - S02B-006/42C3
        S02B-006/42C3B
        S02B-006/42C5A2D
       ORIGINAL (O): 385073000; CROSS-REFERENCE (X): 385140000
PCI -
        385031000 385047000 385048000 385024000
DT -
       Corresponding document
UP -
       2003-04
2 / 3 PLUSPAT - @QUESTEL-ORBIT
PN - US6328482 B1 20011211 [US6328482]
STG - (B1) U.S. Patent (no pre-grant pub.) after Jan. 2, 2001
TI - (B1) Multilayer optical fiber coupler
IN - (B1) JIAN BENJAMIN BIN (US)
IC - (B1) G02B-006/36
AP - US32782699 19990608 [1999US-0327826]
PR - US32782699 19990608 [1999US-0327826]
       US8837498P 19980608 [1998US-P088374]
       US9893298P 19980903 [1998US-P098932]
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       S02B-006/42C3
ICO -
        S02B-006/42C3B
        S02B-006/42C5A2D
        ORIGINAL (O): 385088000; CROSS-REFERENCE (X): 385033000
PCL -
        385034000 385089000 385093000
DT -
       Basic
UP - 2001-51
1 / 1 LEGALI - ©EPO
PN -
       US6328482 B1 20011211 [US6328482]
AP -
       US32782699 19990608 [1999US-0327826]
        20040302 US/RF-A
        REISSUE APPLICATION FILED
        EFFECTIVE DATE: 20031206
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       2004-11
3 / 3 PLUSPAT - @QUESTEL-ORBIT - image
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PN - US2002054737 A1 20020509 [US20020054737]

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STG -
         (A1) Utility Patent Application published on or after January 2, 2001
TI -
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IN -
        (A1) JIAN BENJAMIN B (US)
IC -
        (A1) G02B-006/30 G02B-006/32
PN2 -
        US6527455 B2 20030304 [US6527455]
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        (B2) U.S. Patent (with pre-grant pub.) after Jan. 2, 2001
       (B2) Multilayer optical fiber coupler
TI2 -
IN2 -
        (B2) JIAN BENJAMIN B (US)
IC2 -
        (B2) G02B-006/36
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        US99521401 20011126 [2001US-0995214]
        US99521401 20011126 [2001US-0995214]
US32782699 19990608 [1999US-0327826]
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        Corresponding document
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         2002-21
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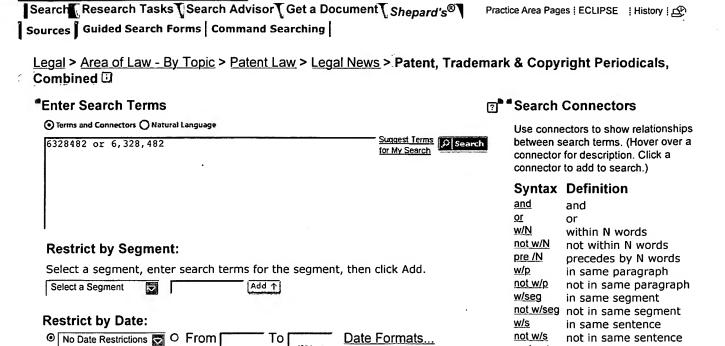
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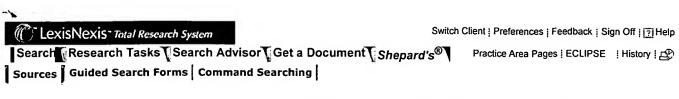
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